



Brussels, 22-1-2014

DIH 14/002

**UCC – ANNEX A-IA**

FORMATS AND CODES OF THE  
COMMON DATA REQUIREMENTS FOR  
APPLICATIONS AND DECISIONS

**Document history of the earlier versions**

<b>Revision No</b>	<b>Date</b>	<b>Purpose</b>	<b>Main changes</b>
Original	05-06-2012	To be presented on CCC-Gen meeting on 15-06-2012 and on CCC-DIH 19 meeting on 11-07-2012	First proposal; includes all existing provisions based on Doc. TAXUD-MCCIP-2010-100-3
Revision 1		To be discussed during DIH 21 meeting on 5-12-2012	Changes based on MS and TCG review cycle comments
Revision 2	06-03-2013	To be discussed during DIH 23 meeting on 15 to 16-04-2013	<ul style="list-style-type: none"><li>• Harmonised structure for customs decisions</li><li>• Streamlining Data Group 3 – Parties</li><li>• Improvement of the text based on comments provided in the review cycle and the discussion during DIH 22 meeting</li><li>• Adding footnotes to the matrix in Title I by transferring the notes from Chapter 2 which relate to the conditions of the use of the data element concerned.</li></ul>
Revision 3	26-04-2013	To be discussed during DIH 24 meeting on 27 to 28-06-2013	<ul style="list-style-type: none"><li>• Inclusion of an introductory remark that the document includes all the data elements necessary for the applications and decisions dealt with in the Annex.</li><li>• Define structure for the registration number of the application</li><li>• Fine-tune parties related data requirements,</li></ul>

			<p>notably as regards contact details</p> <ul style="list-style-type: none"> <li>• Remove D.E. 4/5 Place of records if different from applicant's records and transfer content to D.E. 4/4 Place of records</li> <li>• Streamline D.E. 7/5 Business activities and the "economic sector of activities" used for AEO, provide for the use of NACE 2 codes</li> <li>• Improve description of codes in Title XIII, Chapter 3 as regards D.E. 1 Request for waiver of a supplementary customs declaration</li> <li>• Further actions from RC</li> </ul>
Revision 4		To be discussed during DIH 25 meeting on 25+26-09-2013	<ul style="list-style-type: none"> <li>• New data elements inserted: <ul style="list-style-type: none"> <li>○ in Title I: D.E. 4/16 Deadline for submitting the bill of discharge</li> <li>○ in Title II: D.E. 12. Office where customs documentations are kept and D.E. 13 Office responsible for providing all customs documentation</li> </ul> </li> <li>• Data elements removed: <ul style="list-style-type: none"> <li>○ D.E. 3 Deadline for the submission of a supplementary declaration from Title XIV</li> <li>○ D.E. 4 Identification number of the storage facility from Title XVII</li> </ul> </li> <li>• Fine-tune notes and adapt data element formats</li> <li>• Actions from RC</li> <li>• Actions from the discussions during DIH 24 meeting</li> </ul>
DIH 14/002 UCC Annex A-IA		To be discussed during the DIH 27 meeting on 30-1-2014	<ul style="list-style-type: none"> <li>• New structure: formats and codes in Annex A-IA</li> <li>• Update of legal references</li> <li>• Actions resulting from the RC on Doc. 758632 Rev. 4</li> </ul>

## **Annex A - IA**

### **Formats and codes of the common data requirements for applications and decisions**

#### **General provisions**

1. The provisions included in these notes are applicable to all Titles of this Annex.
2. The formats and the codes included in this Annex are applicable in relation with the data requirements for applications and decisions as provided for in Annex A of the UCC related Delegated Act.
3. The formats and the codes defined in this Annex shall apply to applications and decisions made by using an electronic data processing technique as well as to paper-based applications and decisions.
4. Title I includes the formats of the data elements.
5. Whenever the information in an application or decision dealt with in Annex A of the UCC related Delegated Act takes the form of codes, the code-list provided for in Title II shall be applied.
6. The size of a data element shall not prevent the applicant to provide sufficient information. Where the details necessary cannot fit within a given data element format, attachments shall be used.
7. The term 'type/length' in the explanation of an attribute indicates the requirements for the data type and the data length. The codes for the data types are as follows:
  - a alphabetic
  - n numeric
  - an alphanumericThe number following the code indicates the admissible data length. The following applies. The optional two dots before the length indicator mean that the data has no fixed length, but it can have up to a number of digits, as specified by the length indicator. A comma in the data

length means that the attribute can hold decimals, the digit before the comma indicates the total length of the attribute, the digit after the comma indicates the maximum number of digits after the decimal point.

Examples of field lengths and formats:

a1 1 alphabetic character, fixed length

n2 2 numeric characters, fixed length

an3 3 alphanumeric characters, fixed length

a..4 up to 4 alphabetic characters

n..5 up to 5 numeric characters

an..6 up to 6 alphanumeric characters

n..7,2 up to 7 numeric characters including maximum 2 decimals, a delimiter being allowed to float.

## Title I

### Formats of the common data requirements for applications and decisions

Reference to the Title in Annex A-DA	D.E. order number	D.E. name	D.E. format (Type/length)	Code-list available (Y/N)	Notes
Title I	1/1	Application/Decision code type	an..4	Y	
Title I	1/2	Continuation part	n.a.	N	Data element used only for paper-based applications.
Title I	1/3	Signature/ authentication	an..35	N	
Title I	1/4	Type of application	<i>Code:</i> n1 <i>Authorisation reference number:</i> - country code: a2 - decision code type: an..4 - reference number: an..29	Y	
Title I	1/4a	Geographical validity	a2		The country code as defined in Commission Regulation (EU) No 1106/2012 of 27 November 2012

Reference to the Title in Annex A-DA	D.E. order number	D.E. name	D.E. format (Type/length)	Code-list available (Y/N)	Notes
					implementing Regulation (EC) No 471/2009 of the European Parliament and of the Council on Community statistics relating to external trade with non-member countries, as regards the update of the nomenclature of countries and territories <sup>1</sup>
Title I	1/5	Special procedure	n..2	Y	Data element used only for paper-based applications.
Title I	1/6	Decision reference number	<i>Country code:</i> a2 <i>Decision code type:</i> an..4 <i>Reference number:</i> an..29	Y	The structure is defined in Title II
Title I	1/7	Registration number of the application	<i>Country code:</i> a2 <i>Decision code type:</i> an..4 <i>Reference number:</i>	N	The structure defined in Title II for D.E. 1/6 Decision reference number shall be used.

<sup>2</sup> OJ L 393, 30.12.2006, p. 1–39

Reference to the Title in Annex A-DA	D.E. order number	D.E. name	D.E. format (Type/length)	Code-list available (Y/N)	Notes
			an..29		
Title I	2/1	Other applications and decisions relating to binding information held	<i>Country of application:</i> a2  <i>Place of application:</i> an..35  <i>Date of application:</i> n8 (yyyymmdd)  <i>BTI decision reference:</i> a2 (country code) + an..4 (decision code type) + an..29 (reference number)  <i>Start date of validity:</i> n8 (yyyymmdd)  <i>Customs Nomenclature Code:</i> an..22	N	
Title I	2/2	Decisions relating to binding information issued to other Holders	<i>Decision reference:</i> a2 (country code) + an..4 (decision code type)	N	

Reference to the Title in Annex A-DA	D.E. order number	D.E. name	D.E. format (Type/length)	Code-list available (Y/N)	Notes
			+ an..29 (reference number) <i>Start date of validity:</i> n8 (yyyymmdd) <i>Customs Nomenclature Code:</i> an..22		
Title I	2/3	Legal or administrative procedures pending	<i>Country code:</i> a2 <i>Address of the court:</i> an..4096	N	
Title I	2/4	Attached documents	<i>Document type:</i> an..3 <i>Document identifier:</i> an..35		
Title I	3/1	Applicant /Holder of the authorisation or decision	<i>Name:</i> an..70 <i>Street and number:</i> an..70 <i>Country:</i> a2 <i>Postcode:</i> an..9	N	



Reference to the Title in Annex A-DA	D.E. order number	D.E. name	D.E. format (Type/length)	Code-list available (Y/N)	Notes
			<i>City:</i> an..35 <i>Telephone number:</i> an..35 <i>Fax number:</i> an..35 <i>E-mail address:</i> an..70		
Title I	3/1a	Applicant /Holder of the authorisation or decision identification	an..17	N	
Title I	3/2	Representative	<i>Name:</i> an..70 <i>Street and number:</i> an..70 <i>Country:</i> a2 <i>Postcode:</i> an..9 <i>City:</i> an..35 <i>Telephone number:</i> an..35 <i>Fax number:</i> an..35 <i>E-mail address:</i> an..70	N	

Reference to the Title in Annex A-DA	D.E. order number	D.E. name	D.E. format (Type/length)	Code-list available (Y/N)	Notes
Title I	3/2a	Representative identification	an..17	N	
Title I	3/3	Representation status code	a1	Y	
Title I	3/4	Person represented	<i>Name:</i> an..70 <i>Street and number:</i> an..70 <i>Country:</i> a2 <i>Postcode:</i> an..9 <i>City:</i> an..35 <i>Telephone number:</i> an..35 <i>Fax number:</i> an..35 <i>E-mail address:</i> an..70	N	
Title I	3/4a	Person represented identification	an..17	N	
Title I	3/5	Name and contact details of the person responsible for customs matters	<i>Name:</i> an..70 <i>Telephone number:</i> an..35 <i>Fax number:</i> an..35	N	

Reference to the Title in Annex A-DA	D.E. order number	D.E. name	D.E. format (Type/length)	Code-list available (Y/N)	Notes
			<i>E-mail address:</i> an..70		
Title I	3/6	Contact person responsible for the application	<i>Name:</i> an..70 <i>Telephone number:</i> an..35 <i>Fax number:</i> an..35 <i>E-mail address:</i> an..70	N	
Title I	3/7	Subcontractor	<i>Name:</i> an..70 <i>Street and number:</i> an..70 <i>Country:</i> a2 <i>Postcode:</i> an..9 <i>City:</i> an..35 <i>Telephone number:</i> an..35 <i>Fax number:</i> an..35 <i>E-mail address:</i> an..70	N	
Title I	3/8	Subcontractor identification	an..17	N	

Reference to the Title in Annex A-DA	D.E. order number	D.E. name	D.E. format (Type/length)	Code-list available (Y/N)	Notes
Title I	4/1	Place	an..35	N	Data element used only for paper-based applications and decisions.
Title I	4/2	Date	n8 (yyyymmdd)	N	
Title I	4/3	Place where main accounts for customs purposes are held or accessible	<i>Street and number:</i> an..70 <i>Country:</i> a2 <i>Postcode:</i> an..9 <i>City:</i> an..35 <i>UN/LOCODE:</i> an..17	N	If the UN/LOCODE is used to define the location concerned, the structure shall follow the description provided for in UN-ECE Recommendation 16 on UN/LOCODE – Code for ports and other locations.
Title I	4/4	Place where records are kept	<i>Street and number:</i> an..70 <i>Country:</i> a2 <i>Postcode:</i> an..9 <i>City:</i> an..35 <i>UN/LOCODE:</i> an..17	N	If the UN/LOCODE is used to define the location concerned, the structure shall follow the description provided for in UN-ECE Recommendation 16 on UN/LOCODE – Code for ports and other locations.
Title I	4/6	[Requested] Start date of the authorisation	n8 (yyyymmdd)	N	

Reference to the Title in Annex A-DA	D.E. order number	D.E. name	D.E. format (Type/length)	Code-list available (Y/N)	Notes
Title I	4/7	Date of expiry of the authorisation	n8 (yyyymmdd)	N	
Title I	4/8	Location of goods	<i>Name: an..70</i> <i>Street and number: an..70</i> <i>Country: a2</i> <i>Postcode: an..9</i> <i>City: an..35</i> <i>UN/LOCODE: an..17</i>	N	As regards the UN/LOCODE, the structure defined for D.E. 4/3 Place where main accounts for customs purposes are held or accessible in Title II shall be used.
Title I	4/9	Customs office(s) of placement	an8	Y	The structure of the codes is defined in Title II.
Title I	4/10	Customs office(s) of discharge	an8	N	The structure of the codes is defined in Title II for D.E. 4/10 Customs office(s) of discharge.
Title I	4/11	Customs office(s) of guarantee	an8	N	The structure of the codes is defined in Title II for D.E. 4/10 Customs office(s) of discharge.
Title I	4/12	Supervising customs office(s)	an8	N	The structure of the codes is defined in Title II for D.E. 4/10 Customs office(s) of

Reference to the Title in Annex A-DA	D.E. order number	D.E. name	D.E. format (Type/length)	Code-list available (Y/N)	Notes
					discharge.
Title I	4/13	Date of the application	n8 (yyyymmdd)	N	
Title I	4/14	Time-limit	n..4	N	
Title I	4/15	Period for discharge	n..2	N	
Title I	4/16	Deadline for submitting the bill of discharge	n8 (yyyymmdd)	N	
Title I	5/1	Commodity code	<i>1<sup>st</sup> subdivision (Combined Nomenclature code):</i> an..8  <i>2<sup>nd</sup> subdivision (TARIC code):</i> an2  <i>3<sup>rd</sup> subdivision (TARIC additional code(s)):</i> an4  <i>4<sup>th</sup> subdivision (National additional code):</i> an..4	N	
Title I	5/2	Goods description	an..512	N	

Reference to the Title in Annex A-DA	D.E. order number	D.E. name	D.E. format (Type/length)	Code-list available (Y/N)	Notes
			As regards the application and the decision for Binding Tariff Information, the format should be an..4096		
Title I	5/3	Goods quantity	<i>Measurement unit:</i> an..4 <i>Quantity:</i> n..16	N	
Title I	5/4	Goods value	<i>Currency:</i> a3 <i>Amount:</i> n..18	N	The ISO-alpha-3 currency codes (ISO 4217) shall be used for the currency.
Title I	5/5	Rate of yield	an..4096	N	
Title I	5/6	Equivalent goods	<i>Commodity code:</i> an8 <i>Commercial quality/technical characteristics:</i> an..4096	N	
Title I	5/7	Processed products	<i>Commodity code:</i> an8 <i>Commercial quality/technical</i>	N	

Reference to the Title in Annex A-DA	D.E. order number	D.E. name	D.E. format (Type/length)	Code-list available (Y/N)	Notes
			<i>characteristics:</i> an..4096		
Title I	5/8	Identification of goods	<i>Code:</i> n1 <i>Free text:</i> an..4096	N	
Title I	5/9	Reference amount	<i>Currency:</i> a3 <i>Amount:</i> n..18	N	The ISO-alpha-3 currency codes (ISO 4217) shall be used for the currency.
Title I	5/10	Excluded categories or movement of goods	an..4096	N	
Title I	6/2	Description of applicant's system to monitor the simplification	N.a.	N	Tick-box
Title I	6/3	Professional qualifications of the applicant/employees responsible for customs matters or the contracted persons or their employees responsible for customs matters	N.a.	N	Tick-box
Title I	6/4	Prohibitions and restrictions	an..4096	N	
Title I	6/5	Economic conditions	n..2	Y	



Reference to the Title in Annex A-DA	D.E. order number	D.E. name	D.E. format (Type/length)	Code-list available (Y/N)	Notes
Title I	6/6	Remarks on general conditions coming from the authorisation	an..4096	N	
Title I	7/1	Type of transaction	<i>Type of special procedure:</i> a..70	N	
Title I	7/2	Type of customs procedures	<i>Procedure code:</i> an2 <i>Authorisation identifier</i> <i>(Country code: a2 +</i> <i>decision code type: an..4</i> <i>+ Reference number:</i> <i>an..29)</i> <i>Date of application:</i> n8 <i>(YYYYMMDD)</i>	N	
Title I	7/3	Type of declaration	<i>Authorisation identifier</i> <i>(Country code: a2 +</i> <i>decision code type: an..4</i> <i>+ Reference number:</i> <i>an..29)</i> <i>Date of application:</i> n8	N	

Reference to the Title in Annex A-DA	D.E. order number	D.E. name	D.E. format (Type/length)	Code-list available (Y/N)	Notes
			(YYYYMMDD)		
Title I	7/4	Number of operations	n..7		
Title I	7/5	Business activities	an..4	N	The codes provided for in Regulation (EC) No 1893/2006 of the European Parliament and of the Council of 20 December 2006 establishing the statistical classification of economic activities NACE Revision 2 and amending Council Regulation (EEC) No 3037/90 as well as certain EC Regulations on specific statistical domains <sup>2</sup> shall be used.
Title I	7/6	Details of planned activities	an..4096	N	
Title I	8/1	Type of main accounts for customs purposes	an..4096	N	
Title I	8/2	Type of records	an..4096	N	
Title I	8/3	Samples etc.	n.a.	N	

<sup>2</sup> OJ L 393, 30.12.2006, p. 1–39

Reference to the Title in Annex A-DA	D.E. order number	D.E. name	D.E. format (Type/length)	Code-list available (Y/N)	Notes
Title I	8/4	Additional information	an..4096	N	
Title I	8/5	Guarantee	<i>Identifier:</i> an..35 <i>Date of application:</i> n8 (yyyymmdd)	N	
Title I	8/6	Transfer of rights and obligations	an..4096	N	
Title I	8/7	Movement of goods	n..2	N	
Title I	8/8	Decision taking customs authority	an8	N	The structure of the codes is defined in Title II for D.E. 4/10 Customs office(s) of discharge.
Title II	II/1	Reissue of a BTI	<i>BTI Decision reference:</i> a2 (country code) + an..4 (decision code type) + an..29 (reference number) <i>BTI Decision validity:</i> n8 (yyyymmdd) <i>Customs nomenclature code:</i> an..22	N	

<b>Reference to the Title in Annex A-DA</b>	<b>D.E. order number</b>	<b>D.E. name</b>	<b>D.E. format (Type/length)</b>	<b>Code-list available (Y/N)</b>	<b>Notes</b>
Title II	II/2	Customs nomenclature	a1 (tick-box) an..70	N	
Title II	II/3	Commercial denomination and additional information	an..4096	N	
Title II	II/4	Justification of the classification of the goods	an..4096	N	
Title II	II/5	Material provided by the applicant on the basis of which the BTI decision has been issued	n.a.	N	Tick-box
Title II	II/6	Keywords	an..4096	N	
Title II	II/7	Images	n.a.	N	Tick-box
Title II	II/8	National reference number	an..25	N	
Title II	II/9	Place of receipt	an..35	N	
Title II	II/10	Date of receipt	n8 (yyyymmdd)	N	
Title II	II/11	Status	n2	Y	

<b>Reference to the Title in Annex A-DA</b>	<b>D.E. order number</b>	<b>D.E. name</b>	<b>D.E. format (Type/length)</b>	<b>Code-list available (Y/N)</b>	<b>Notes</b>
Title II	II/12	End date of extended use	n8 (yyyymmdd)	N	
Title II	II/13	Invalidation reason	n2	Y	
Title III	III/1	Legal basis	n.a.	N	
Title III	III/2	Composition of the goods	n.a.	N	
Title III	III/3	Conditions enabling the determination of origin	n.a.	N	
Title III	III/4	Indicate which data should be treated as confidential	n.a.	N	
Title III	III/5	Country of origin and legal framework	n.a.	N	
Title III	III/6	Justification of the assessment of the origin	n.a.	N	
Title III	III/7	Ex-works price	n.a.	N	
Title III	III/8	Principal materials used, country of origin, CN code and value	n.a.	N	
Title III	III/9	Description of the processing required in order to obtain origin	n.a.	N	

Reference to the Title in Annex A-DA	D.E. order number	D.E. name	D.E. format (Type/length)	Code-list available (Y/N)	Notes
Title III	III/10	Language	n.a.	N	
Title III	III/11	Keywords	n.a.	N	
Title IV	IV/1	Legal status of applicant	an..35	N	
Title IV	IV/2	Date of establishment	n8 (yyyymmdd)	N	
Title IV	IV/3	Role(s) of the applicant in the international supply chain	<i>To be defined later</i>	Y	
Title IV	IV/4	Member States where customs related activities are carried out	<i>Country: a2</i> <i>Street and number: an..70</i> <i>Postcode: an..9</i> <i>City: an..35</i> <i>Type of facility: an..70 (free text)</i>	N	
Title IV	IV/5	Border crossing information	an8	N	Customs Office List
Title IV	IV/6	Simplifications and facilitations already granted, certificates mentioned in Article IA-2-28 (2) and (3) <b>[ex-123-09 (2)]</b> , or AEO-equivalent certificates issued in third	<i>Type of simplification/ facilitation an..5</i> <i>Certificate identification</i>	N	

Reference to the Title in Annex A-DA	D.E. order number	D.E. name	D.E. format (Type/length)	Code-list available (Y/N)	Notes
		countries	<i>number:</i> an..35 <i>Country code:</i> a2 <i>Customs procedure code:</i> an2		
Title IV	IV/7	Consent for the publication of the information in the AEO authorisation in the list of authorised economic operators referred to in <b>[ex-Article 124 -2-02(2)]</b>	n.a.	N	Tick-box
Title IV	IV/8	Consent for the exchange of the information in the AEO authorisation in order to ensure the proper functioning of systems set out in international agreements with third countries related to mutual recognition of the status of authorised economic operator and measures related to security.	n.a.	N	Tick-box
Title IV	IV/9	Permanent Business Establishment (PBE)	<i>Name</i> an..70 <i>Street and number:</i> an..70 <i>Country:</i> a2	N	

Reference to the Title in Annex A-DA	D.E. order number	D.E. name	D.E. format (Type/length)	Code-list available (Y/N)	Notes
			<i>Postcode:</i> an..9 <i>City:</i> an..35 <i>VAT number:</i> an..17		
Title IV	IV/10	Office where customs documentations are kept	<i>Name</i> an..70 <i>Street and number:</i> an..70 <i>Country:</i> a2 <i>Postcode:</i> an..9 <i>City:</i> an..35	N	
Title IV	IV/11	Office responsible for providing all customs documentation	<i>Name</i> an..70 <i>Street and number:</i> an..70 <i>Country:</i> a2 <i>Postcode:</i> an..9 <i>City:</i> an..35	N	
Title V	V/1	Subject and nature of the simplification	an..4096	N	
Title VI	VI/1	Amount of duty and other charges	<i>Currency:</i> an3	N	The ISO-alpha-3 currency codes (ISO 4217) shall be used for the currency.



Reference to the Title in Annex A-DA	D.E. order number	D.E. name	D.E. format (Type/length)	Code-list available (Y/N)	Notes
			<i>Amount:</i> n..18		
Title VI	VI/2	Rate of duty and other charges	<i>Type of duties and charges:</i> an...35 <i>(free text)</i>  an..3 <i>(coded)</i>  <i>Rate of duties and charges:</i> an...18 <i>(free text)</i>  an..7 <i>(coded)</i>	N	As regards the tax types, the codes defined in Annex B for D.E. 4/5 Calculation of taxes (Tax type) shall be used.
Title VI	VI/3	Average period between the placing of goods under the procedure and the discharge of the procedure	an...35	N	Free text
Title VI	VI/4	Financial solvency	an..4096	N	Free text
Title VI	VI/5	Level of guarantee	an..4096	N	Free text
Title VI	VI/6	Form of the guarantee	<i>Name</i> an..70 <i>Street and number:</i> an..70  <i>Country:</i> a2	N	

Reference to the Title in Annex A-DA	D.E. order number	D.E. name	D.E. format (Type/length)	Code-list available (Y/N)	Notes
			<i>Postcode:</i> an..9 <i>City:</i> an..35 <i>Free text:</i> an..35		
Title VII	VII/1	Name of the owner of the place subject to approval	<i>Name:</i> an..70 <i>Description of the relation with the applicant:</i> an..35	N	
Title VII	VII/2	Dimensions of the approved place(s)	<i>Measurement unit:</i> an..3 <i>Size measure:</i> n..15	N	
Title VII	VII/3	Information regarding the security of the place(s)	<i>Document type:</i> an..3 <i>Document identifier:</i> an..3	N	The codes included in the CS/RD table shall be used for the document type.
Title IX	IX/1	EU Member State(s) concerned by the regular shipping service	a2	N	The country codes provided for in Commission Regulation (EC) No 1106/2012 of 27 November 2012 on implementing Regulation (EC) No 471/2009 of the European Parliament and of the Council on Community statistics relating to external trade with non-member countries, as regards the update

D.E. name	D.E. format (Type/length)	Code-list available (Y/N)	Notes
			of the nomenclature of countries and territories <sup>3</sup> shall be used.
vessels, respective ports of call	<i>Name of vessel an..35</i> <i>IMO number of vessel:</i> <i>IMO + n..7</i> <i>Port of call: an8</i>	N	For the indication of the ports concerned, the UN/LOCODE shall be used.
g	n.a.	N	Tick-box
ctivity	n.a.	N	Tick-box
quipment	an..4096	N	Free text
guarantees	an..4096	N	Free text
ana weighing certificate	n.a.	N	Tick-box
notification to customs	an..4096	N	Free text
the publication of non-l data	n.a	N	

D.E. name	D.E. format (Type/length)	Code-list available (Y/N)	Notes
r waiver of a supplementary claration	a1	Y	
r the submission of a tary declaration	n..2	N	
involved in the authorisation ember States	<i>Name an..70</i> <i>Street and number: an..70</i> <i>Country: a2</i> <i>Postcode: an..9</i> <i>City: an..35</i> <i>EORI number: an..17</i>	N	
ices of presentation	an8	N	Customs Office List
on of the fiscal and statistical	<i>Name an..70</i> <i>Street and number: an..70</i> <i>Country: a2</i> <i>Postcode: an..9</i>	N	

Reference to the Title in Annex A-DA	D.E. order number	D.E. name	D.E. format (Type/length)	Code-list available (Y/N)	Notes
Title XII	XII/4	VAT-exempt supply to another Member State	n.a.	N	Tick-box
Title XII	XII/5	VAT and statistical information	an..4096	N	Free text
Title XIII	XIII/1	Notification waiver of the availability of the goods for customs controls	<i>Tick box:</i> n.a. <i>Free text:</i> an..4096	N	
Title XIII	XIII/2	Additional benefits in case of export	<i>Tick box:</i> n.a. <i>Free text:</i> an..4096	N	
Title XIII	XIII/3	Customs office responsible for the place where the goods are available for controls	an8	N	Customs Office List
Title XIII	XIII/4	Deadline for submitting the particulars of the complete customs declaration	n..2	N	
Title XIV	XIV/1	Identification of formalities and controls to be delegated to the economic operator	a1	Y	
Title XV	XV/1	Calculation of the amount of the import duty in accordance with Article 53(3) of	n.a.	N	Tick-box

Reference to the Title in Annex A-DA	D.E. order number	D.E. name	D.E. format (Type/length)	Code-list available (Y/N)	Notes
		the Code			
Title XV	XV/2	Prior exportation (IP EX/IM)	n..2	N	
Title XV	XV/3	Release for free circulation by use of bill of discharge	n.a.	N	Tick-box
Title XVI	XVI/1	Standard exchange system	n.a.	N	Tick-box
Title XVI	XVI/2	Replacement products	<i>Commodity code:</i> an..8 <i>Description:</i> an..512 <i>Code :</i> n1	Y	
Title XVI	XVI/3	Prior importation of processed products (OP IM/EX)	n.a.	N	Tick-box
Title XVII	XVII/1	Details about the storage facilities	an..4096	N	Free text
Title XVII	XVII/2	Storage of Union goods	n.a.	N	Tick-box
Title XVII	XVII/3	Temporary removal	n.a.	N	Tick-box
Title XVII	XVII/4	Identification number of the storage facility	an..35	N	

<b>Reference to the Title in Annex A-DA</b>	<b>D.E. order number</b>	<b>D.E. name</b>	<b>D.E. format (Type/length)</b>	<b>Code-list available (Y/N)</b>	<b>Notes</b>
Title XVII	XVII/5	Loss rate	an..4096	N	Free text
Title XVIII	XVIII/1	Identification measures	an..4096	N	Free text
Title XIX	XIX/1	Type of seal	an..4096	N	Free text

## Title II

### Codes in relation with the common data requirements for applications and decisions

#### 1. Introduction

This Title contains the codes to be used on applications and decisions.

#### 2. Codes

##### 1/1. Application/Decision code type

The following codes shall be used:

<b>Code</b>	<b>Application/Decision type</b>	<b>Table column heading in Annex A-DA</b>
BTI	Decision on Binding Tariff Information	1a
BOI	Decision on Binding Origin Information	1b
AEOC	Authorisation for the status of Authorised Economic Operator – Customs simplifications	2
AEOS	Authorisation for the status of Authorised Economic Operator – Security and safety	2
AEOF	Authorisation for the status of Authorised Economic Operator – Customs simplifications/Security and safety	2
CVA	Application or authorisation for the simplification of the determination of amounts being part of the customs value of goods	3
CGU	Application or authorisation for the provision of a comprehensive guarantee, including possible reduction or waiver	4a
DPO	Application or authorisation for the deferment of payment	4b
APG	Application or approval of a place for the purpose of presenting the goods	5a
TST	Application or authorisation for the operation of storage	5b



	facilities for the temporary storage of goods	
RSS	Application or authorisation of regular shipping service	6a
ACP	Application or authorisation for the status of authorised consignor to establish the proof of Union status	6b
AWB	Application or authorisation for the status of authorised weigher of bananas	7a
SDE	Application or authorisation to use simplified declaration	7b
CCL	Application or authorisation for centralised clearance	7c
EIR	Application or authorisation for making a customs declaration through an entry of data in the declarant's records, including for the export procedure	7d
SAS	Application or authorisation for self-assessment	7e
IPO	Application or authorisation for the use of inward processing procedure	8a
OPO	Application or authorisation for the use of outward processing procedure	8b
EUS	Application or authorisation for the use of end use	8c
TEA	Application or authorisation for the use of temporary admission	8d
CWP	Application or authorisation for the operation of storage facilities for the customs warehousing of goods in a private customs warehouse	8e
CW1	Application or authorisation for the operation of storage facilities for the customs warehousing of goods in a public customs warehouse type I	8e
CW2	Application or authorisation for the operation of storage facilities for the customs warehousing of goods in a public customs warehouse type II	8e
ACT	Application or authorisation for the status of authorised consignee for TIR procedure	9a
ACR	Application or authorisation for the status of authorised consignor for Union transit	9b
ACE	Application or authorisation for the status of authorised	9c

	consignee for Union transit	
TRD	Application or authorisation to use transit declaration with a reduced dataset	9d
SSE	Application or authorisation for the use of special seals	9e
ETD	Authorisation for the use of an electronic transport document as customs declaration	9f

#### **1/4. Type of application**

The following codes shall be used:

- 1 first application
- 2 application for amended amendment of the authorisation
- 3 or application for renewal of the authorisation

#### **1/5. Special procedure**

The following codes shall be used:

- 3 authorisation for the use of the temporary admission procedure
- 4 authorisation for the use of the end-use procedure
- 5 authorisation for the use of the inward processing procedure
- 6 authorisation for the use of the outward processing procedure
- 71 authorisation for the operation of storage facilities for customs warehousing of goods in a public customs warehouse type I
- 72 authorisation for the operation of storage facilities for customs warehousing of goods in a public customs warehouse type II
- 74 authorisation for the operation of storage facilities for customs warehousing of goods in a private customs warehouse

#### Notes

'Public customs warehouse type I' means a public customs warehouse where the responsibilities referred to in Article 242 (1) of the Code lie with the holder of the authorisation and the holder of the procedure.

'Public customs warehouse type II' means a public customs warehouse where the responsibilities referred to in Article 242 (2) of the Code lie with the holder of the procedure.

The use of a public customs warehouse type III, which means that the customs warehouse is operated by the customs authorities, does not require an authorisation.

'Private customs warehouse' means customs warehouse may be available for the storage of goods by the holder of an authorisation for customs warehousing.

### 1/6. Decision reference number

The decision reference number is structured as follows:

Field	Content	Format	Examples
1	Identifier of the Member State where the decision is taken (alpha 2 country code)	a2	PT
2	Decision code type	an..4	SSE
3	Unique identifier for the decision per country	an..29	1234XYZ123456 78909876543210 AB

Field 1 as explained above.

Field 2 shall be filled in with the code of the decision as defined for D.E. 1/1 Decision code type in this Title.

Field 3 shall be filled in with an identifier for the decision concerned. The way that field is used is under the responsibility of national administrations but each decision taken within the given country must have a unique number in relation to the decision type concerned.

### 3/3. Representation status code

The following codes shall be used:

- 1 The applicant is acting in own name and own behalf
- 2 The applicant is acting as direct representative within the meaning of the first indent of Article 18 (1) UCC
- 3 The applicant is acting as indirect representative within the meaning of the second indent of Article 18 (1) UCC

#### **4/9. Customs office(s) of placement**

The structure of the codes is the following:

- the first two characters (a2) serve to identify the country by means of the country code specified for Exporter identification,
- the next six characters (an6) stand for the office concerned in that country. It is suggested that the following structure be adopted:

The first three characters (a3) would be taken up by the UN/LOCODE<sup>4</sup> location name and the last three by a national alphanumeric subdivision (an3). If this subdivision is not used, the characters '000' should be inserted.

Example: BEBRU000: BE = ISO 3166 for Belgium, BRU = UN/LOCODE location name for the city of Brussels, 000 for the unused subdivision.

#### **5/8. Identification of goods**

Codes to be used for the identification of goods are the following:

- 1 serial or manufacturer's number
- 2 affixing of plumbs, seals, clip-marks or other distinctive marks
- 3 structured information (INF)
- 4 taking of samples, illustrations or technical descriptions
- 5 carrying out of analyses
- 6 information document set out in [*ex-Annex 104*] (only suitable for outward processing)
- 7 other means of identification (provide an explanation D.E. 8/4 "Additional information")

---

<sup>4</sup> Recommendation 16 on UN/LOCODE – CODE FOR PORTS AND OTHER LOCATIONS

- 8 without identification measures according to Article 250(2)(b) of the Code (only suitable for temporary admission)

#### **6/5. Economic conditions**

Codes to be used for economic conditions:

- (a) the application concerns

##### **Inward or outward processing**

- Code 1 the processing of goods which are not covered by [*ex-Annex 73*],
- Code 2 the processing of goods to ensure their compliance with technical requirements for their release for free circulation,
- Code 3 the processing of goods of a non-commercial nature,
- Code 4 the processing of goods obtained under a previous authorisation the granting of which was subject to an examination of the economic conditions,
- Code 5 the processing of solid and fluid fractions of palm oil, coconut (copra) oil, fluid fractions of coconut (copra) oil, palm kernel oil, fluid fractions of palm kernel oil, babassu oil or castor oil into products which are not destined for the food sector,
- Code 6 the processing into products to be incorporated in or used for civil aircraft for which an airworthiness certificate is issued,
- Code 7 the processing into products which may benefit from the autonomous suspension of import duties on certain weapons and military equipment,
- Code 8 the processing of goods into samples,
- Code 9 the processing of any electronic type of components, parts, assemblies or any other materials into information technology products,
- Code 10 the processing of goods falling within CN codes 2707 and 2710 into products falling within CN codes 2707, 2710 and 2902,
- Code 11 the reduction to waste and scrap, destruction, recovery of parts or components,
- Code 12 denaturing,
- Code 13 usual forms of handling referred to in Article 220 of the Code,

## **Inward processing**

- Code 14 repair under inward processing with subsequent permanent re-exportation of the processed products,
- Code 15 a job processing under inward processing with subsequent permanent re-exportation of the processed products,
- Code 16 the processing of durum wheat into pasta under inward processing with subsequent permanent re-exportation of the processed products,
- Code 17) a document issued by a competent authority permitting the placement under inward processing with subsequent permanent re-exportation of the processed products in the limits of the quantity determined on the basis of a supply balance in accordance with Article 11 of Council Regulation (EC) No 3448/93,

The processing of goods under inward processing with subsequent permanent re-exportation of the processed products which are covered by [*ex-Annex 73*] and the economic conditions are identified by one of the following codes:

- Code 18 Unavailability of goods produced in the Union falling within the same 8-digit Combined Nomenclature code, which are of the same commercial quality and which have the same technical characteristics (comparable goods) as the goods to be placed under inward processing referred to in the application or authorisation. The unavailability covers the total absence of Union production of comparable goods, the unavailability of a sufficient quantity of those goods in order to carry out the processing operations envisaged or the fact that comparable Union goods cannot be made available to the applicant in time for the proposed commercial operation to be carried out, despite a request having been made in good time.
- Code 19 Although available, comparable goods cannot be used because their price would make the proposed commercial operation economically unviable. In deciding whether the price of comparable goods produced in the Union would make the proposed commercial operation economically unviable, it shall be necessary to take account, inter alia of the impact that the use of Union-produced goods would have on the cost price of the processed product and hence on the disposal of the product on the third-country market, having regard to:

- the price before duty of the goods for processing and the price of comparable goods produced in the Union less domestic taxes refunded or refundable on export, taking into account the conditions of sale and any refunds or other amounts applying under the common agricultural policy,
- the price obtainable for the processed products on the third-country market, as ascertained from commercial correspondence or other information.

Code 20 Comparable goods which do not conform to the expressly stated requirements of the third-country purchaser of the processed products or the processed products must be obtained from goods intended to be placed under inward processing in order to comply with provisions concerning the protection of industrial or commercial property rights (contractual obligations),

(b)

Code 21 the aggregate amount of import duties of the goods placed under the processing procedure, if they were released for free circulation and the *erga omnes* import duty rate was applied, per applicant and per calendar year for each 8-digit Combined Nomenclature code, does not exceed 150 000 EUR with regard to goods which are covered by [*ex-Annex 73*] and

Code 22 300 000 EUR for other goods, or

(c)

Code 23 the application does not concern any of the cases mentioned above under (a) or (b).

## **II/11. Status**

Enter one of the following codes:

- 71 The BTI application has been formally accepted and transmitted to the Commission.
- 81 Samples or other supplementary information concerning the product has been requested.
- 82 The issue of a BTI decision is delayed, pending the outcome of discussions at the Customs Code Committee.
- 89 The issue of a BTI decision is delayed for reasons other than those listed under status codes 81 and 82. These reasons may be further specified under the data element "For official use" referred to in the last paragraph under Section 2 of this Annex.

- 91 The BTI decision is not issued because another application for identical goods has been submitted to customs on behalf of the same applicant.
- 92 The BTI decision is not issued because the applicant who is requesting the BTI decision already has a BTI decision for identical goods.
- 93 The BTI decision is not issued because the applicant has withdrawn the application
- 94 The BTI is not issued due to the failure of the applicant to provide samples or supplementary information under the agreed conditions when requested to do so.
- 99 The BTI decision is not issued for reasons other than those listed under status codes 91, 92, 93 and 94. These reasons may be further specified under D.E. 13 Invalidation reason.

### **II/13. Invalidation reason**

Enter one of the following codes:

- 55 Annulled
- 61 Invalidated due to customs nomenclature code changes
- 62 Invalidated due to EU measure
- 63 Invalidated due to national legal measure
- 64 Revocation due to incorrect classification
- 65 Revocation for other reasons than classification
- 66 Invalidated due to limited validity of nomenclature code at the time of issue

### **XIV/1. Identification of formalities and controls to be delegated to the economic operator**

The following codes shall be used:

- A determination of amount of import or export duty payable;
- B establishing proof of Union status of goods;
- C movement, processing, storage of goods under one of the customs procedures mentioned in [*ex-Article 525-2-01 (1)a) to (1)f)*]
- D confirming the exit of goods where the authorisation holder has carried them out of the customs territory of the Union
- E ensuring compliance with prohibitions and restrictions mentioned in D.E. 6/4 Prohibitions and restrictions
- F providing information to customs authorities and other agencies



### **XVI/1. Standard exchange system**

The following codes shall be used:

- 1 Standard exchange system without prior importation of replacement products
- 2 Standard exchange system with prior importation of replacement products

### **XVI/2. Replacement products**

The following codes shall be used:

- 3 Structured information (INF)
- 4 Taking of samples, illustrations or technical descriptions
- 5 Carrying out of analyses
- 7 Other means of identification (explain in “D.E. 8/4 Additional information”)