

EN
ANNEX

Draft proposal for amendment to the UCC WP
Version 2.0 of 30 October with changes to version 1.0 of 12 October 2015 highlighted in
yellow

Annex – The Work Programme for the Union Customs Code

I. Introduction to the work programme

The purpose of the work programme is to provide for an instrument to support the application of the Code relating to the development and deployment of the electronic systems.

The work programme will support the development of the electronic systems required by Article 6(1) and govern the setting up of transitional periods as referred to in Article 278 of the Code.

The purpose of the work programme is extended to the determination of the transitional periods i.e. the periods during which Member States are allowed to use transitional measures until the deployment of the new or updated electronic systems as referred to in the Commission Delegated Regulation XXX and XXX and the Commission Implementing Regulation XXX.

The work programme relates to the necessary cooperation between the Commission and the Member States in the development and deployment of the electronic systems according to what is provided for in Article 16(1) of the Code.

The work programme shall be understood as follows:

1. It relates to the development and deployment of the electronic systems referred to in Article 16(1) of the Code;
2. It takes into consideration the priorities defined in Article 280(2) of the Code;
3. It lists the electronic systems referred to in Article 16(1) of the Code and the electronic systems or data-processing techniques for the exchange of information referred to in Article 6§1 of the Code which are necessary for the application of the provisions of the Code and for which a transitional period is to be envisaged, from the date of application of the Code but not beyond 31 December 2020;
4. It specifies per project:
 - (a) a high level description of the project and the related electronic system (including its nature "central" or "trans-European" and the components to be developed at EU and/or national level);
 - (b) the legal basis for the electronic system (related legal provisions of the Code);
 - (c) for the trans-European systems, the key milestone in terms of target date of the technical specifications, to be understood as the completion date of the stable technical specifications, updated and available to the Member States after review; for the national systems this date will be communicated as part of the published national project planning;

(d) the dates of the deployments of the trans-European and national systems, by means of

- the start date of the deployment window for the electronic systems, being the earliest date to start operations of the electronic system (time-window allowed for the technical migration of Member States and their traders, in case where a single implementation date is not appropriate);

- the end date of the deployment window for the electronic systems, being the latest date by which the system needs to be operated by all Member States and used by all traders ,and therefore being the date of full deployment of the electronic system equalling the end date of the transitional period.

In case of national systems, the Member States may decide on the deployment dates as well as on the start and end date of a deployment window, where such is deemed appropriate, in accordance with their national project planning and subject to the following conditions:

-applicable for the following projects of the UCC Work Programme: 12. UCC Special Procedures (SP IMP) and 13. UCC Arrival Notification, Presentation Notification, Temporary Storage, and with an end date of deployment not later than 31 December 2020;

-applicable for the following project of the UCC Work Programme: 14. UCC National Import Systems update and with an end date of deployment not later than 30 September 2020;

-transmission to the Commission of the national plans for the development and deployment of these electronic systems, and in particular the milestones related to the availability of technical specifications, the test period with the traders, the start of the deployment window (or migration period) and end of the deployment window (equalling the end of the transitional period for that Member State), the information about the rules for which the transitional period ends;

-transmission to the traders of the information necessary, and in a timely manner, to enable traders to connect to the new or updated systems and apply the new rules and data requirements.

More details on the technical arrangements for the electronic systems as well as on the specific roll-out plan for the deployment and technical migration towards the full operation of the electronic system will be specified in the project documentation and the MASP and, where appropriate, laid down in the future implementing acts related to article 17 of the Code.

The description of the electronic systems in the work programme is based on the requirements for those systems, which can be derived from the descriptions in the Code, at the time of drawing up the work programme.

In order to implement the work programme, the Commission will initiate the specific projects related to electronic systems by means of business analysis activities in close collaboration with the Member States. In view of the further elaboration into the IT technical part of the projects, the Commission will, in close cooperation with the Member States, define common specifications for the envisaged trans-European electronic systems (including the interfaces with trade in case where an EU harmonised interface with trade is part of the project scope). In the case of purely national systems, the Member States will define and publish the national specifications for their systems (including the interfaces with traders). The Member States and the Commission will ensure the development and deployment of the systems, including testing and migration activities, in line with the defined system architecture and specifications. The Commission and the Member States will also collaborate with other stakeholders such as the economic operators.

The projects will be rolled out in different phases from elaboration to construction, testing and migration to final operation. The role of the Commission and the Member States in these different phases will depend on the nature and architecture of the systems and its components or services as described in the detailed project fiches of the Multi-Annual Strategic Plan ('MASP'). Where appropriate, common technical specifications will be defined by the Commission, in close cooperation with and subject to review with the Member States, with a view to provide them 24 months prior to the target start date of deployment of the electronic system. Technical specifications developed nationally for the national systems or interfaces need to be made available, **at least concerning the parts impacting traders**, in a timely manner in order to enable traders to plan and adjust their systems and interfaces accordingly.

The Member States and the Commission will engage in the development and deployment of the systems, including implementation support activities such as training and communication activities. The activities will be carried out in respect of the milestones and dates stated in the work programme. The economic operators will have to take the necessary steps to be able to make use of the systems once in place and at the latest by the end dates defined in this work programme.

II. The work programme (for the Union Customs Code)

<p><u>'UCC Projects and related Electronic Systems'</u></p> <p>List of projects related to the development and deployment of electronic systems required for the application of the Code</p>	<p>Legal base</p>	<p>Key milestone</p>	<p>Dates of deployment of the electronic systems</p>	
			<p>Start date of the deployment window of the electronic system²</p>	<p>End date of the deployment window of the electronic system³ = End date of the transitional period</p>

² This date for starting the deployment window of the electronic systems equals to the earliest date for Member States to start operations.

³ This date for ending the deployment window of the electronic systems equals to the latest date by which the system should be fully operational and equals the end date of the transitional period.

<p>1. UCC Registered Exporter System (REX)</p> <p>The project aims to make available up-to-date information on Registered Exporters established in GSP countries exporting goods to the EU. The system will be a trans-European system and also include data about EU traders for the purpose of supporting exports to GSP countries.</p>	<p><i>Art. 6(1), 16 and 64 of Regulation (EU) No 952/2013 laying down the Union Customs Code</i></p>	<p><i>Target date of technical specifications = Q1 2015</i></p>	<p>01/01/2017</p>	<p>01/12/2017</p>
<p>2. UCC BTI/Surveillance 2 update (BTI/SURV2+)</p> <p>The project aims at providing an upgrade of the existing trans-European BTI system and Surveillance 2 system to ensure the</p> <ul style="list-style-type: none"> • alignment of the EBTI-3 system to the UCC requirements, • extension of Surveillance data, • monitoring of the compulsory BTI usage, • monitoring and management of BTI extended usage. <p>The project will be implemented in two phases. The first phase will cover the core parts to fulfil the obligation of BTI usage control by means of the required dataset (in a gradual manner during the transition and dependent on the implementation of project 10 and 14) and the alignment to the customs decisions process. The second phase will implement the full scope for monitoring by means of a full data set (in a gradual manner during the transition and dependent on the implementation of project 10 and 14) and will provide for traders an EU harmonised trader interface to submit the BTI application and receive the BTI decision electronically.</p>	<p><i>Art. 6(1), 16, 22, 23 26, 27, 28, 33 and 34 of Regulation (EU) No 952/2013 laying down the Union Customs Code</i></p>	<p><i>Target date of technical specifications =Q2 2015 ? (phase 1) =Q3 2016 (phase 2)</i></p>	<p>01/03/2017 (phase 1) 01/10/2018 (phase 2)</p>	<p>01/03/2017 (phase 1) 01/10/2018 (phase 2)</p>

<p>3. UCC Customs Decisions</p> <p>The project aims to harmonise the processes related to the application for a customs decision, the decision taking and the decision management through standardisation and electronic management of application and decision/authorisation data across the European Union. The project relates to national and multi-Member States decisions defined by the UCC and will cover system components developed centrally at EU level and cover integration with national components where opted for by Member States. The trans-European system will facilitate consultations during the decision taking period and the management of the authorisations process.</p> <p>The trans-European system consists of an EU trader portal, a customs decisions management system and a customer reference system.</p>	<p><i>Art. 6(1), 16, 22, 23, 26, 27 and 28 of Regulation (EU) No 952/2013 laying down the Union Customs Code</i></p>	<p><i>Target date of technical specifications =Q3 2015</i></p>	<p>02/10/2017</p>	<p>02/10/2017</p>
<p>4. Direct trader access to the European Information Systems (Uniform user management & digital signature)</p> <p>The goal of this project is to provide working solutions for a direct and EU harmonised trader access as a service for user-to-system interfaces to be integrated in the electronic customs systems as defined in the specific UCC projects such as UCC BTI/Surveillance 2+, UCC Customs Decisions, UCC AEO and UCC Proof of Union Status, and potentially INF. The UUM&DS will be integrated in the portals of the concerned systems and includes support for identity, access and user management compliant with the necessary security policies.</p>	<p><i>Art. 6(1) and 16 of Regulation (EU) No 952/2013 laying down the Union Customs Code</i></p>	<p><i>Target date of technical specifications =Q4 2015</i></p>	<p>02/10/2017</p>	<p>02/10/2017</p>

<p>5. UCC Authorised Economic Operators (AEO) update</p> <p>The project aims to improve the business processes related to AEO applications and authorisations taking into account the changes of the legal provisions of the UCC and the harmonisation of the customs decision taking procedure. (=phase 1)</p> <p>In a next phase, (In a later phase? the system will also offer an EU harmonised interface for trade to submit the AEO application and receive the AEO decision electronically. (=phase 2)</p>	<p>Art. 6(1), 16, 22, 23, 26, 27, 28, 38 and 39 of Regulation (EU) No 952/2013 laying down the Union Customs Code</p>	<p>Target date of technical specifications =Q1 2016</p>	<p>01/03/2018</p> <p>Date phase 2 to be added</p>	<p>01/03/2018</p> <p>Date phase 2 to be added</p>
<p>6. UCC EORI update (EORI 2)</p> <p>This project aims at providing an upgrade of the existing trans-European EORI systems to ensure the compatibility with the UCC. It concerns the system that ensures the registration and identification of economic operators of the EU and third country operators active on customs matters in the EU.</p>	<p>Art. 6(1) and 9 of Regulation (EU) No 952/2013 laying down the Union Customs Code</p>	<p>Target date of technical specifications =Q1 2016</p>	<p>01/03/2018</p>	<p>01/03/2018</p>

<p>7. UCC Surveillance 3</p> <p>This project aims at providing an upgrade of the Surveillance 2+ system to ensure its alignment to the UCC requirements such as the standard exchange of information by electronic data-processing techniques and the establishment of adequate functionalities needed for processing and analysing the full surveillance dataset obtained from Member States.</p> <p>Therefore it will include further data mining capabilities and reporting functionalities to be made available to Commission and Member States.</p>	<p><i>Art. 6(1), 16 and 56(5) of Regulation (EU) No 952/2013 laying down the Union Customs Code</i></p>	<p><i>Target date of technical specifications =Q3 2016</i></p>	<p>01/10/2018</p>	<p>01/10/2018</p>
<p>8. UCC Proof of Union Status (PoUS)</p> <p>The project aims at the creation of a new trans-European system to store, manage and retrieve the following electronic Proofs of Union Status: T2L/F and the customs goods manifest (issued by a non-authorized issuer).</p>	<p><i>Art. 6(1), 16 and 153 of Regulation (EU) No 952/2013 laying down the Union Customs Code</i></p>	<p><i>Target date of technical specifications =Q1 2017</i></p>	<p>01/03/2019</p>	<p>01/03/2019</p>
<p>9. UCC New Computerised Transit System (NCTS) update</p> <p>The aim of this project is to align the existing trans-European NCTS system to the new UCC requirements such as the registration of "en route" events and the alignment of information exchanges to UCC data requirements and the update and development of interfaces with other systems.</p>	<p><i>Art. 6(1), 16 and 226 - 236 of Regulation (EU) No 952/2013 laying down the Union Customs Code</i></p>	<p><i>Target date of technical specifications =Q2 2017</i></p>	<p>01/10/2019</p>	<p>02/03/2020</p>

<p>10. UCC Automated Export System (AES)</p> <p>This project aims to implement the UCC requirements for export and exit.</p> <p>Component 1 (AES): The aim of the project is to further develop the existing trans-European Export Control System in order to implement a full AES that would cover the business requirements for processes and data brought about by the UCC, inter alia the coverage of simplified procedures, split exit consignments and centralised clearance for export. It is also envisaged to cover the development of harmonised interfaces with Excise Movement System (EMCS) and NCTS. As such, AES will enable the full automation of export procedures and exit formalities. AES covers parts to be developed centrally and nationally.</p> <p>Component 2 (national export/exit systems): In addition, not being part of the scope of AES but closely linked, separate national systems are to be updated for specific national aspects related to export and/or exit formalities.</p>	<p><i>Art. 6(1), 16, 179 and 263 - 276 of Regulation (EU) No 952/2013 laying down the Union Customs Code</i></p>	<p><i>Target date of technical specifications =Q2 2017</i> (component 1)</p> <p><i>Target date of technical specifications =Q2 2017</i> (component 2)</p>	<p>01/10/2019 (component 1)</p> <p>01/10/2019 (component 2)</p>	<p>02/03/2020 (component 1)</p> <p>02/03/2020 (component 2)</p>
<p>11. UCC Information Sheets (INF) for Special Procedures</p> <p>The aim of this project is to develop a new centralised system to support and streamline the processes of INF data management and the electronic handling of INF data in the domain of Special Procedures.</p>	<p><i>Art. 6(1), 16 , 215, 237 – 242 and 250 - 262 of Regulation (EU) No 952/2013 laying down the Union Customs</i></p>	<p><i>Target date of technical specifications =Q1 2018</i></p>	<p>02/03/2020</p>	<p>02/03/2020</p>

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<p>12. UCC Special Procedures</p> <p>This project aims at accelerating, facilitating and harmonising Special Procedures across the Union by means of providing common business process models. The related national systems will aim to implement all UCC changes required for customs warehousing, end-use, temporary admission, inward and outward processing.</p> <p>This project will be implemented in two parts.</p> <p>Component 1 (SP EXP): provide the required national electronic solutions for the export related special procedures activities.</p> <p>Component 2 (SP IMP): provide the required national electronic solutions for the import related special procedures activities.</p> <p>The implementation of these projects is directly linked to projects 10 and 14.</p>	<p><i>Art. 6(1), 16, 215, 237 - 242 and 250 - 262 of Regulation (EU) No 952/2013 laying down the Union Customs Code</i></p>	<p><i>Target date of technical specifications</i> =to be defined by MS (for component 1 and 2)</p>	<p>01/10/2019 (component 1)</p> <p>To be defined by MS as part of the national plan (component 2)</p>	<p>02/03/2020 (component 1)</p> <p>To be defined by MS as part of the national plan (component 2)</p>
<p>13. UCC Notification of Arrival, Presentation Notification and Temporary Storage</p> <p>The goal of this project is to define the processes for Notification of Arrival of the means of transport, Presentation of the goods (Presentation Notification) and Declaration for Temporary Storage as described in the UCC and to support</p>	<p><i>Art. 6(1), 16 and 133 - 152 of Regulation (EU) No 952/2013 laying down the Union Customs</i></p>	<p><i>Target date of technical specifications</i> =to be defined by MS</p>	<p>To be defined by MS as part of the national plan</p>	<p>To be defined by MS as part of the national plan</p>

<p>harmonisation in this respect across Member States as regards the data exchange between trade and customs and, where required, between customs administrations.</p> <p>The project covers the automation of processes at national level.</p>	<p>Code</p>			
<p>14. UCC National Import Systems update</p> <p>The project aims at implementing all process and data requirements deriving from the UCC which relate to the import domain (and which are not covered by one of the other projects defined in the work programme. It relates mainly to the changes for the "Release for free circulation" procedure (standard procedure + simplifications), but covers also the impacts from other provisions. This project relates to the national import domain covering the national customs declarations processing systems as well as other systems such as the national accountancy and payment systems, etc.</p>	<p>Art. 6(1), 16(1) 53, 56, 77-80, 83-87, 101-105, 108-109, 158- 187, 194-195 of Regulation (EU) No 952/2013 laying down the Union Customs Code</p>	<p>Target date of technical specifications =to be defined by MS</p>	<p>To be defined by MS as part of the national plan</p>	<p>To be defined by MS as part of the national plan</p>
<p>15. UCC Centralised Clearance for Import (CCI)</p> <p>This project aims to allow for goods to be placed under customs procedure using Centralised Clearance, allowing economic operators to centralise their business from a customs viewpoint. The processing of the customs declaration and the physical release of the goods should be coordinated between the related customs offices. It concerns a trans-European system containing components developed centrally and nationally.</p>	<p>Art. 6(1), 16 and 179 of Regulation (EU) No 952/2013 laying down the Union Customs Code</p>	<p>Target date of technical specifications =Q1 2018</p>	<p>01/10/2020</p>	<p>Roll-out plan to be defined as part of the CCI project documentation</p>

<p>16. UCC Guarantee Management (GUM)</p> <p>This project aims to assure the effective and efficient management of the different types of guarantees.</p> <p>Component 1: The trans-European system will cover the management of the comprehensive guarantees that may be used in more than one Member State and the monitoring of the reference amount for each customs declaration, supplementary declaration or an appropriate information of the particulars needed for the entry in the accounts for the existing customs debts for all customs procedures as provided for in the Union Customs Code, except Transit which is handled as part of the NCTS project.</p> <p>Component 2: In addition, the electronic systems existing at national level to manage the guarantees valid in one MS are to be updated.</p>	<p><i>Art. 6(1), 16 and 89 - 100 of Regulation (EU) No 952/2013 laying down the Union Customs Code</i></p>	<p><i>Target date of technical specifications =Q1 2018 (component 1)</i></p> <p>Target date of technical specifications =to be defined by MS (component 2)</p>	<p>01/10/2020 (component 1)</p> <p>To be defined by MS as part of the national plan (component 2)</p>	<p>01/10/2020 (component 1)</p> <p>To be defined by MS as part of the national plan (component 2)</p>
<p>17. UCC Import Control System update (ICS 2)</p> <p>The goal of this project is to strengthen the safety & security of the supply chain for all modes of transport and especially air cargo, by means of improving data quality, data filing, data availability and data sharing as regards the entry summary declaration and related risk and control information (ENS+ lifecycle).</p> <p>The project will also enhance and support the collaboration</p>	<p><i>Art. 6(1), 16, 46 and 127 - 132 of the Regulation (EU) No 952/2013 laying down the Union Customs Code</i></p>	<p>Target date of technical specifications =Q3 2018</p>	<p>01/10/2020</p>	<p><i>Roll-out plan to be defined as part of the ICS2 project documentation</i></p>

<p>amongst Member States in the process of e-screening and risk analysis. It will lead to a complete new architecture of the existing trans-European ICS system based on a core project, containing the full common specifications. The development is envisaged through a common repository and national developments. The Commission will/may support the national developments through the implementation of the following components to be used by opting-in MS:</p> <p>-Shared Trader Interface</p> <p>-e-Screening/Risk Management support..</p>				

UCC Projects related to electronic systems	Dates of deployment / Deployment windows	S1 2017	S2 2017	S1 2018	S2 2018	S1 2019	S2 2019	S1 2020	S2 2020
1. UCC Registered Exporter System (REX)	01/01/2017 – 01/12/2017								
2. UCC Binding Tariff Information System (BTI) Update	01/03/2017 (phase 1) 01/10/2018 (phase 2)	Phase 1			Phase 2				
3. UCC Customs Decisions	02/10/2017								
4. Direct trader access to European Information Systems (Uniform user management & digital signature)	02/10/2017								
5. UCC Proof of Union Status (PoUS)	01/10/2019								
6. UCC AEO update	01/03/2018 (phase 1) ? (phase 2)								
7. UCC EORI update	01/03/2018								
8. UCC Surveillance 3	01/10/2018								
9. UCC New Computerised Transit System (NCTS) update	01/10/2019 – 02/03/2020								
10. UCC Automated Export System (AES)	01/10/2019 – 02/03/2020								
11. UCC Information Sheets (INF) Special Procedures	02/03/2020								
12. UCC Special Procedures	national planning for SP IMP planning AES for SP EXP								
13. UCC Notification of arrival, presentation notification and temporary storage	national planning								
14. UCC National Import Systems	national planning								
15. UCC Centralised Clearance for Import (CCI)	01/10/2020 – rollout plan								
16. UCC Guarantee Management	01/10/2020 – 01/10/2020								
17. UCC ICS2	01/10/2020 – rollout plan								

Figure: Graphical Overview