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ANNEX 6

MASP Rev. 2014 v1.1

Change Log

TABLE OF CONTENTS

1. Purpose of this document	3
2. Towards a coherent approach.....	3
2.1. Overview of transformations.....	4
2.2. Transformations of MASP documents.....	5
2.3. Transformations of MASP Annex 2 project fiches.....	6
2.4. Overview of project fiches contained in Annex 2.....	9
3. Representation of UCC WP projects in MASP Rev. 2014	10
4. Yearly review of the MASP	11
4.1. Procedure overview.....	12
4.1.1. MASP Review Deliverable Creation	12
4.1.2. MASP Review Deliverable Acceptance.....	13

Electronic Customs Multi-Annual Strategic Plan 2014 Yearly Revision (MASP Rev. 2014)

1. PURPOSE OF THIS DOCUMENT

This document is a Change Control document for use with revisions of the DG TAXUD Multi-Annual Strategic Plan (MASP). It provides guidance and reference material which is intended to assist the reader in understanding main changes and transformations that took place in view of yearly iterations of the MASP. In the change log for Rev. 2014, MASP Revision 12 (2013) is considered as the reference-point for plotting transformations. It presents the initial of the documents at the beginning of MASP Revision 2014.

The Annex was created to answer the need for a change tracking mechanism triggered by adopting the vision of a 'coherent approach' on project fiches. It lists significant enhancements and amendments (transformations) that are identified and implemented during the process of the MASP's yearly revision. Section 4 of the document describes the MASP review cycle.

2. TOWARDS A COHERENT APPROACH

Several different project fiches maintained in MASP Rev. 12 contain actions which impact single systems. These many-to-one relationship provide a level of complexity which has triggered MS to request a more clear and coherent approach towards the implementation of UCC and eCustoms systems.

MASP Rev. 2014 addresses this request by adopting a revisited perspective on fiches maintained in Annex 2. Where opportunities exist and are deemed feasible, clustered project fiches now integrate elements relevant to the same system. These clusters typically absorb fiches that were isolated in the previous revision of MASP. Thus, instead of considering fiches to make up a collection of independent and normalised records on projects, MASP Rev. 2014 presents a first increment towards defining an integrated system landscape and does so from a business viewpoint. This coherent approach adopts a view of grouping project fiches into clusters and does so by business topic. The approach is valid for the following topics: The adaptation of movement systems; Import; Transit; Special procedures and AEO.

Further to this, it promotes weaving elements contained in a cluster together by means of providing internal and external information on correlations, inter-linkages and shared purposes. Finally it seeks to not omit relevant information by the act of joining fiches. In practice this means that:

- Annex 2 project fiches that have been subject to consolidation through clustering are marked to be deleted and kept as placeholders. These placeholder fiches act as a reference point to corresponding project fiches contained in MASP Revision 12;
- Fiche numbers of consolidated fiches are not repurposed. This is to provide a consistent frame of reference;
- A change history contained in applicable fiches briefs the history of transformations applied to them.

This document presents resulting transformations in order to provide the reader with clear guidelines for approaching transformations realised in MASP Revision 2014.

2.1. OVERVIEW OF TRANSFORMATIONS

The scope of listed transformations and changes is limited to significant alterations contained in MASP Revision 2014 and in view of MASP Revision 12 (2013).

This document seeks to provide information on such transformations on both the level of MASP documents and that of individual project fiches contained in Annex 2. Significant alterations are understood to be:

- Transformations of **MASP documents**, i.e. highlights of changes that can be situated on the level of the individual documents that make up the MASP. These changes concern the MASP's Main Body and its Annexes, and specifically:
 - **Updates of documents**, such as:
 - Revisions of the MASP's Main Body document;
 - Revisions to the content of MASP Annexes;
 - **Insertions** of additional MASP Annexes.
- Transformations of **MASP Annex 2 project fiches**, i.e. highlights of changes that can be situated on the level of the individual project fiches that MASP Annex 2 is composed of. These changes concern both the structural aspect of project fiches and the content contained within individual fiches. Types of transformations are:
 - **Updates** of MASP Annex 2 project fiches;
 - Revisions (updates to reflect the actual state of play of projects);
 - Clustering (transformations of fiches towards clustered assemblies of fiches as a result of applying the 'coherent approach');
 - **Deletions** of project fiches contained in MASP Annex 2, for instance due to the fiche being absorbed by a cluster or as a result of a project's changed qualification;
 - **Insertions** of additional MASP Annex 2 fiches as a result of clustering or the inception of new projects.

These threads and typologies of significant alterations are further discussed in following document sections.

**Electronic Customs Multi-Annual Strategic Plan
2014 Yearly Revision (MASP Rev. 2014)**

2.2. TRANSFORMATIONS OF MASP DOCUMENTS

The following table highlights noteworthy adaptations to documents' content following the revision of MASP:

Document	Transformations
Main Body	Updates: Adaptations in the context of the adoption of the Union Customs Code (UCC) and its Work Programme (UCC WP); Description of the coherent approach; Minor editing; Reworked graphics.
Annex 1 – Planning	Updates: Adaptations in order to reflect updates to MASP Annex 2 project fiches, the UCC Work Programme (UCC WP) and the coherent approach.
Annex 2 – Consolidated Project Fiches	Updates: Adaptations in the context of the adoption of the Union Customs Code (UCC) and its Work Programme (UCC WP); Application of the coherent approach; Adaptations to reflect the individual projects' actual state-of-play. Deletions: Several project fiches have been marked for deletion due to the application of the coherent approach. Insertions: A fiche on 'CUP-MIS' has been added; A fiche on 'Business Continuity' has been added.
Annex 3 – Governance Scheme for the Implementation of the MASP and its related Electronic Customs Projects	Updates: Revised to reflect the new governance structure under Customs 2020, as presented at ECG 281.
Annex 4 – EU Customs Business Process Modelling Policy	N/A
Annex 5 – IT Strategy	N/A
Annex 6 – Change Log	Insertions: Creation of a new MASP Annex ('Change Log') which serves to highlight significant transformations resulting from yearly revisions of the MASP.

Table 2-1 - Transformations of MASP documents

2.3. TRANSFORMATIONS OF MASP ANNEX 2 PROJECT FICHES

This section provides high-level information on transformations of project fiches contained in Annex 2. The table below lists clusters resulting from application of the coherent approach and stand-alone fiches, references to MASP Rev. 12 fiches and it outlines transformations applied to those fiches.

Note: fiches which are marked as 'Deleted' are kept as placeholders.

MASP Revision 12 (2013) reference fiche	Action	Cluster (part of)	Transposition Rev. 12 (2013) to Rev. 2014	Fiche in MASP Revision 2014
1.1 AEO Mutual Recognition (AEO MR) adaptations prior to UCC implementation	Deleted	Yes	(1.1 †) → (1.5)	1.5 UCC AEO and impacts of MRA
1.2 UCC Customs Decisions	Updated	No	N/A	1.2 UCC Customs Decisions
1.3 UCC Proof of Union Status (PoUS)	Updated	No	N/A	1.3 UCC Proof of Union Status (PoUS)
1.4 UCC BTI	Updated	No	N/A	1.4 UCC BTI
1.5 UCC AEO updates (UCC AEO)	Updated	Yes	N/A	1.5 UCC AEO and impacts of MRA
1.6 UCC Automated Export System (AES)	Updated	Yes	N/A	1.6 UCC Automated Export System (AES)
1.7 UCC Common and Community Transit System (UCC NCTS)	Updated	Yes	N/A	1.7 UCC Transit System including NCTS
1.8 UCC Information Sheets for Special Procedures (UCC INF)	Deleted	Yes	(1.8 †) → (2.6)	2.6 UCC Special Procedures
1.9 Blue Belt initiative and eManifest	Deleted	No	N/A	1.9 Blue Belt initiative and eManifest
1.10 Adaptation of the movement systems	Deleted	Yes	(1.10 †) → (1.5; 1.6; 1.7; 2.10)	2.10 Adjustments of the existing import applications under the UCC
1.10 Adaptation of the movement systems	Deleted	Yes	(1.10 †) → (1.5; 1.6; 1.7; 2.10)	1.7 UCC Transit System including NCTS
1.10 Adaptation of the movement systems	Deleted	Yes	(1.10 †) → (1.5; 1.6; 1.7; 2.10)	1.6 UCC Automated Export System (AES)
1.10 Adaptation of the movement systems	Deleted	Yes	(1.10 †) → (1.5; 1.6; 1.7; 2.10)	1.5 UCC AEO and impacts of MRA
1.11 Registered Exporter System (REX)	Updated	No	N/A	1.11 Registered Exporter System (REX)

**Electronic Customs Multi-Annual Strategic Plan
2014 Yearly Revision (MASP Rev. 2014)**

1.12 COPIS	Updated	No	N/A	1.12 COPIS
1.13 EU Single Window program	Updated	No	N/A	1.13 EU Customs Single Window program
2.1 UCC Notification of Arrival, Presentation Notification and Temporary Storage	Updated	No	N/A	2.1 UCC Notification of Arrival, Presentation Notification and Temporary Storage
2.2 UCC Centralised Clearance for Import (CCI)	Deleted	Yes	(2.2 †) → (2.10)	2.10 Adjustments of the existing import applications under the UCC
2.3 UCC Harmonisation of the Customs Declaration	Deleted	Yes	(2.3 †) → (2.10)	2.10 Adjustments of the existing import applications under the UCC
2.4 UCC Self-Assessment (SA)	Deleted	Yes	(2.4 †) → (1.6; 2.10)	2.10 Adjustments of the existing import applications under the UCC
2.4 UCC Self-Assessment (SA)	Deleted	Yes	(2.4 †) → (1.6; 2.10)	1.6 UCC Automated Export System (AES)
2.5 UCC Guarantee Management (GUM)	Updated	No	N/A	2.5 UCC Guarantee Management (GUM)
2.6 UCC Special Procedures	Updated	Yes	N/A	2.6 UCC Special Procedures
2.7 Surveillance 3	Updated	No	N/A	2.7 Surveillance 3
2.8 UCC Strengthening the Security of the Supply Chain at Entry (including Air Cargo Security) and Customs Risk Management in the EU	Updated	No	N/A	2.8 UCC-related changes to ICS for strengthening the Security of the Supply Chain at Entry (including Air Cargo Security) and the Customs Risk Management in the EU
2.9 Classification Information System (CLASS)	Updated	No	N/A	2.9 Classification Information System (CLASS)
3.1 EU Implementation of UNECE eTIR System	Updated	No	N/A	3.1 EU Implementation of UNECE eTIR System
3.2 EU Implementation of WCO eATA Carnet Project	Updated	No	N/A	3.2 EU Implementation of the eATA Carnet Project
4.1 National Core Systems Implementation by Collaborating Projects	N/A	No	N/A	4.1 National Core Systems Implementation by Collaborating

**Electronic Customs Multi-Annual Strategic Plan
2014 Yearly Revision (MASP Rev. 2014)**

				Projects
4.2 Single Point for Entry or Exit of Data (SPEED 2)	N/A	No	N/A	4.2 Single Point for Entry or Exit of Data (SPEED 2)
4.3 Master Data Consolidation	N/A	No	N/A	4.3 Master Data Consolidation
4.4 Single Electronic Access Point (SEAP)	Updated	No	N/A	4.4 Single Electronic Access Point (SEAP)
4.5 CCN2	N/A	No	N/A	4.5 CCN2
4.6 Direct trader access to EIS (Uniform user management & digital signature)	Updated	No	N/A	4.6 Uniform user management and digital signatures – UUM&DS (Direct trader access to EIS)
4.7 High availability DG TAXUD operational capabilities	N/A	No	N/A	4.7 High availability DG TAXUD operational capabilities
4.8 Maintenance and updates of operational IT systems	N/A	No	N/A	4.8 Maintenance and updates of operational IT systems
N/A	Inserted	No	N/A	4.9 Business Continuity
N/A	Inserted	Yes	N/A	2.10 Adjustments of the existing import applications under the UCC
N/A	Inserted	No	N/A	2.11 Customs Union Performance - Management Information System (CUP-MIS)

Table 2-2 – Transformation of MASP project fiches

2.4. OVERVIEW OF PROJECT FICHES CONTAINED IN ANNEX 2

The structural and content-related transformations that took place in MASP Rev. 2014 can be visualised as follows:

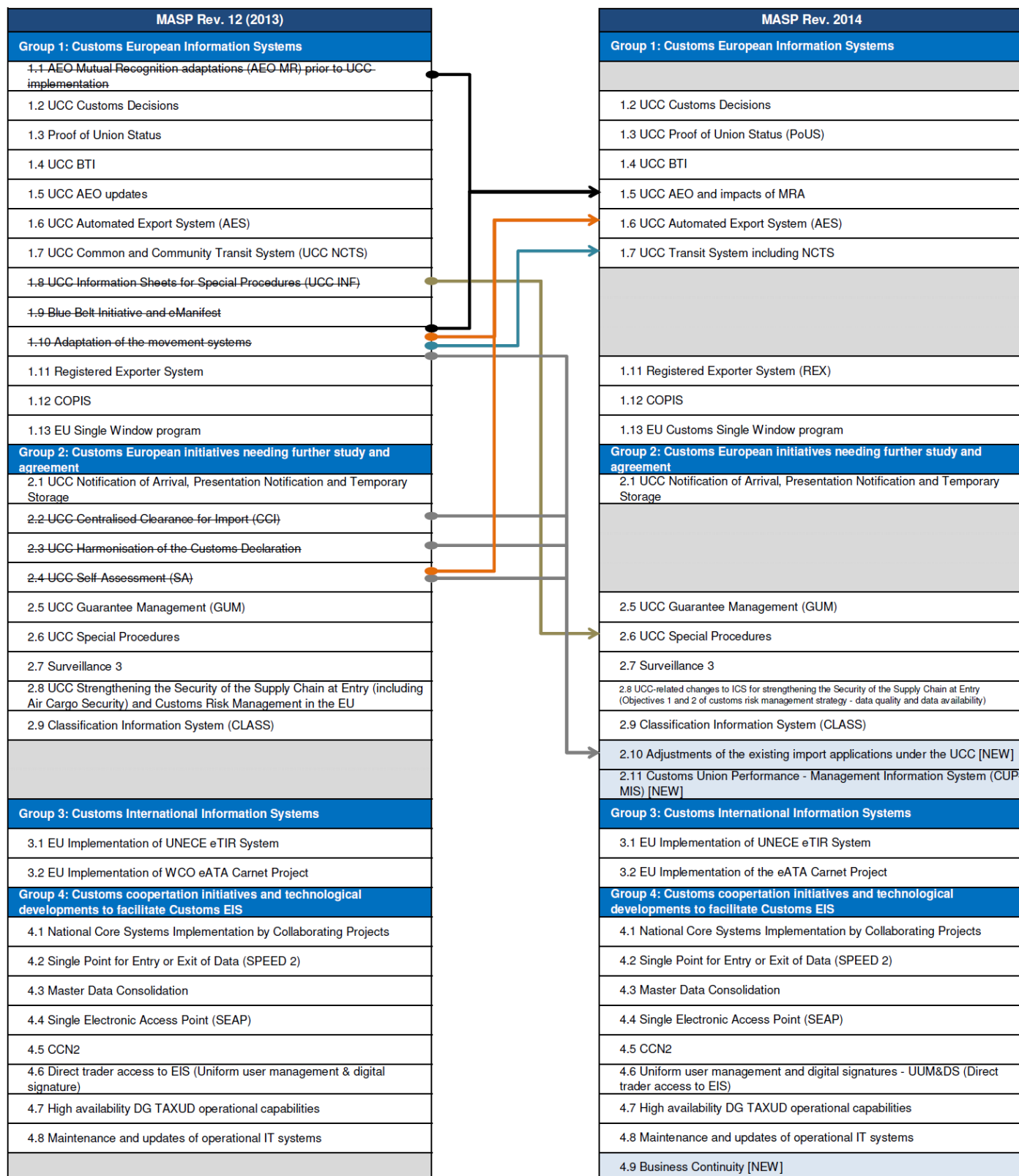


Figure 2-1 – Overview of project fiches contained in MASP

**Electronic Customs Multi-Annual Strategic Plan
2014 Yearly Revision (MASP Rev. 2014)**

3. REPRESENTATION OF UCC WP PROJECTS IN MASP REV. 2014

The following table indicates how MASP Rev. 2014 project fiches correspond with projects listed in the UCC WP.

UCC WP project in MASP Rev. 2014	UCC WP (2014/255/EU), section II: The work programme (for the Union Customs Code)
1.2 UCC Customs Decisions	3. UCC Customs Decisions
1.3 UCC Proof of Union Status (PoUS)	5. UCC Proof of Union Status (PoUS)
1.4 UCC BTI	2. UCC BTI/Surveillance 2+ (Phase 1, Phase 2)
1.5 UCC AEO and impacts of MRA	6. UCC Authorised Economic Operators (AEO) update
1.6 UCC Automated Export System (AES)	9. UCC Automated Export System (AES)
1.7 UCC Transit System including NCTS	8. UCC New Computerised Transit System (NCTS) update
1.11 Registered Exporter System (REX)	1. Registered Exporter System (REX)
2.1 UCC Notification of Arrival, Presentation Notification and Temporary Storage	12. UCC Notification of Arrival, Presentation Notification and Temporary Storage
2.5 UCC Guarantee Management (GUM)	14. UCC Guarantee Management (GUM)
2.6 UCC Special Procedures	10. UCC Information Sheets (INF) for Special Procedures
	11. UCC Special Procedures
2.7 Surveillance 3	7. UCC Surveillance 3
2.8 UCC-related changes to ICS for strengthening the Security of the Supply Chain at Entry (including Air Cargo Security)	15. UCC Safety and Security and Risk Management
2.9 Classification Information System (CLASS)	16. UCC Classification (CLASS)
2.10 Adjustments of the existing Import applications under the UCC	13. UCC Centralised Clearance for Import (CCI)
4.6 Uniform user management and digital signatures - UUM&DS (Direct trader access to EIS)	4. Direct trader access to the European Information Systems (Uniform user management & digital signature)

Table 3-1 - Listing of UCC WP projects in MASP

4. YEARLY REVIEW OF THE MASP

A yearly review of the MASP is an important means to ensure the quality and internal and external validity of MASP. The review process aids in reaching MASP's objectives (see MASP Main Body), as a planned and tightly executed deliverable creation and acceptance lifecycle allows for a consistent structured verification of the document's contents. Furthermore, the acceptance of deliverables represents a very important aspect of governance and project management. In order to assure quality objectives, the review process therefore lends from proven TEMPO Deliverable Lifecycle best practices.

This approach is of critical importance in the context of EIS, as MASP is made available to both internal and external stakeholders, as well as the general public. In order to allow for a comprehensive and correct assessment of the document, MASP is reviewed both internally, by DG TAXUD, and externally, by Member States and the Trade Contact Group (TCG). Inclusion of this external context is of specific importance to the alignment of MASP with the numerous system and product lifecycles it describes. Through review of MASP, expectations by Member States as well as the European Commission can be shared and a common understanding is provided.

The need for such an approach is further strengthened by the nature of the MASP itself. MASP is a management and planning tool for electronic customs initiatives (see MASP Main Body). The nature of the document therefore implies that MASP's framework and the milestones are maintained through the yearly review, in order to augment management and operational effectiveness of the projects and related activities contained in the MASP.

Thus, through the process of a structured yearly review, a comprehensive verification of the following elements is completed:

- Best practices relevant to the MASP, verification of technical content (compliance with stakeholder's expectation of related functionality, reliability, consistency, traceability, maintainability, level of detail in line with the state-of-play of projects' milestones and backdrop (specifically see MASP Annex 1 and 2) and frameworks it describes (see MASP Main Body and the other Annexes);
- Compliance against DG TAXUD IT architecture, strategy and vision;
- Compliance with expectations from the different stakeholders (Member States, TCG, DG TAXUD);
- Aspects of integrity and availability of information.

4.1. PROCEDURE OVERVIEW

A yearly revision MASP review cycle covers two key phases (see Figure 4-1). These two phases are further detailed in the sections below.

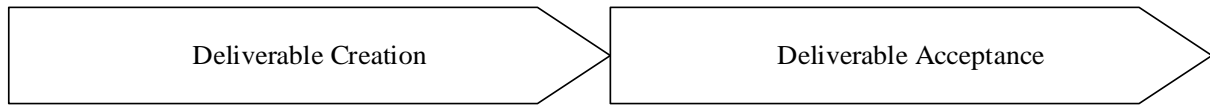


Figure 4-1 The two main phases in the yearly review of the MASP

Firstly, Deliverable Creation includes build and update cycles at the end of DG TAXUD. It allows the validity of content of the MASP to be verified by DG TAXUD stakeholders before formal document acceptance starts. Therefore it drives avoiding surprises and delays during the acceptance process.

Secondly, Deliverable Acceptance is based on a formal “T1/T2/T3 review cycle”. It is made up of a review period (T1) during which the reviewers provide their comments, an implementation period (T2), during which the author (DG TAXUD) assesses and implements changes to the document, as well as a verification period (T3) leading to the acceptance of the deliverable. The process provides a checkpoint mechanism to ensure that the expected quality of work is met and MASP can be agreed on as a common basis for progressing the planning it lays down.

The Delivery Acceptance Process relies on three tools:

- The TEMPO comments database, for recording and follow-up of comments throughout during T1 and for reporting during T2 and T3. The database is usually complemented with a TEMPO Review Macro for Microsoft Word documents or a substitute (e.g. manual recording of comments in a spreadsheet);
- CIRCABC as common file repository for the exchange of information throughout the review cycle;
- The DG TAXUD MASP functional mailbox (TAXUD-MASP@ec.europa.eu) as medium for MS and TCG to provide feedback on MASP documents and as fall-back procedure.

4.1.1. MASP Review Deliverable Creation

The Deliverable Creation process (T0) is also known as DG TAXUD’s *Internal Review Cycle* of the MASP. It is progressed in the two first quarters of the year and is a high impact process for DG TAXUD.

The deliverable creation phase is a complex and therefore highly structured process. During this phase DG TAXUD stakeholders review and update the contents of MASP. Content is built up taking into account acknowledged major and minor evolutions within projects. Changes and trends in external and internal domains, such as legal, policy, business and technology are examined as well.

Activities related to the build include the evolution of project fiche content, changes to the project plan and other Annexes, as well as other transformations. Internal key stakeholders’ elaborate MASP’s contents and cross-departmental alignment meetings on

**Electronic Customs Multi-Annual Strategic Plan
2014 Yearly Revision (MASP Rev. 2014)**

the state of EIS ensure coherence of MASP's contents. During the Internal Review Cycle a set of final revised MASP document deliverables takes form. DG TAXUD takes care to record the changes made to documents, so that this may be transparent to the other stakeholders. The resulting final drafts are then pre-reviewed. When the pre-review is finalised, the MASP documents are published to MS and Trade for review (SfR in TEMPO).

4.1.2. MASP Review Deliverable Acceptance

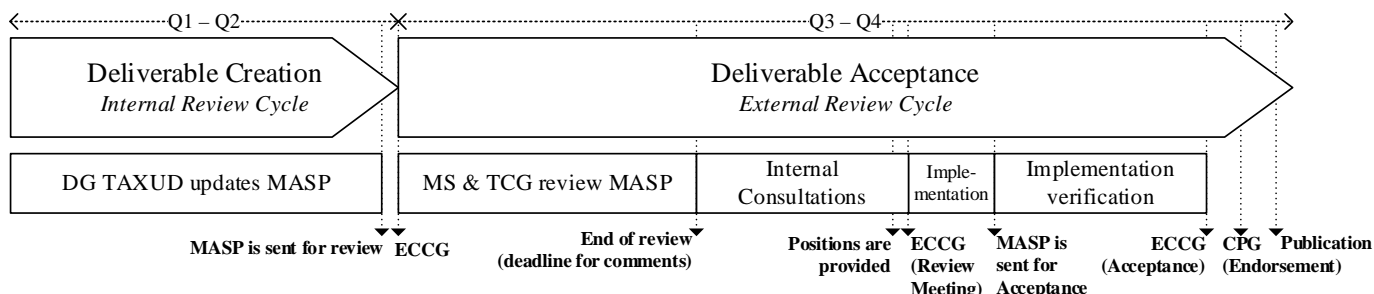


Figure 4-2 Overview of the full review process

Deliverable Acceptance for documents is based on the T1/T2/T3 review cycle, whereby T1, T2 and T3 are periods bounded by major milestones. The succession of the different periods is outlined in Figure 4-2. Deliverable Acceptance involves the external review of the published MASP documents. It is therefore sometimes referred to as the *External Review Cycle*.

The activities related to the Deliverable Acceptance of the yearly MASP revision are listed in Table 4-1.

T1	<ul style="list-style-type: none"> • DG TAXUD's update of MASP is sent for review to Member States and the TCG. This happens by means of publishing the reviewed documents on CIRCABC (see below). This event triggers the start of T1 and with it initiation of the Deliverable Acceptance procedure; • During the Electronic Customs Coordination Group (ECCG) closely following the publishing of documents, DG TAXUD presents: <ul style="list-style-type: none"> ○ MASP's evolution during the internal review cycle; ○ The planning and activities related to MASP's external review cycle, amongst which the procedure for raising comments. • In a next step, MS and Trade reviews the MASP during which they may raise comment on the documents under review; • Comments are then provided to DG TAXUD before the comment deadline and following the procedure for raising comments. This marks the end of T1.
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**Electronic Customs Multi-Annual Strategic Plan
2014 Yearly Revision (MASP Rev. 2014)**

T2	<ul style="list-style-type: none"> • During period T2, the author prepares a response to the received comments; • The comments result from a process of internal consultation within the DG; • Having consolidated all responses, the positions (Author's Positions or APOs) are provided to the reviewers; • During the ECCG following the publishing of APOs, a review meeting is held. The review meetings offers to opportunity to stakeholders to discuss the positions taken by DG TAXUD. Meeting Decisions (MDEs) are captured; • Following the ECCG, the author implements the agreed meeting decisions in the document; • Finally, the updated document is published ECCG members. This means the document is sent for Acceptance and concludes T2.
T3	<ul style="list-style-type: none"> • During period T3, the reviewers verify the implementation of comments was done accordingly to the agreed meeting decisions; • The following ECCG provides a forum for formal acceptance of the MASP; • Next, the Customs Policy Group (CPG) is requested to assess endorsement of the MASP; • Finally, the revision of MASP is publicly published.

Table 4-1 – Activities related to the External Review Cycle (T1/T2/T3)